

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

In re LIBOR-Based Financial Instruments Antitrust Litigation

MDL No. 11-MD-2262 (NRB)

THIS DOCUMENT RELATES TO: OTC ACTION

SELLER ATTESTATION

The Claim(s) you submitted includes one or more transactions for which the seller is not specified or insufficiently specified, or the specific seller is not a Defendant or a Defendant's subsidiary or affiliate. The Claims Administrator is thus unable to verify that the seller is a Defendant or a Defendant's subsidiary or affiliate as defined in the relevant Settlement Agreements.

For each transaction to be a qualifying U.S. Dollar LIBOR-Based Instrument, you must do one of the following:

- With respect to instruments for which the seller is not specified or insufficiently specified (message code 116), you must update the spreadsheet of your transactional data provided by the Claims Administrator with the name of the seller. If the specified seller is not a Defendant or a Defendant's subsidiary or affiliate, you must confirm that the specified seller acted as a broker and that the prior beneficial owner of the instrument was a Defendant or a Defendant's subsidiary or affiliate.
- With respect to instruments for which the specified seller is not a Defendant or a Defendant's subsidiary or affiliate (message code 117), you must confirm that the specified seller acted as a broker and that the prior beneficial owner of the instrument was a Defendant or a Defendant's subsidiary or affiliate.

You may make this confirmation to the Claims Administrator by returning this completed Seller Attestation. If you do nothing, or you do not respond by the due date, your Claim(s) will not be eligible for a payment from the relevant settlement funds.

Please note that the Claims Administrator may request additional documentation to verify the information included in your updated claim. Failure to provide the required documentation may result in the rejection of your submission.

CERTIFICATION

I (We) declare under penalty of perjury under the laws of the United States of America that the transactions indicated with message codes 116 and/or 117 were either obtained directly from a Defendant or a Defendant’s subsidiary or affiliate, or obtained through a seller acting as a broker, and the prior beneficial owner of the instrument was a Defendant or a Defendant’s subsidiary affiliate.

Executed this ____ day of _____, 2024 in _____, _____.
(City) (State/Country)

Claimant ID Number

Name of Claimant

Name of person signing on behalf of Claimant

Signature of person signing on behalf of Claimant

Capacity of person signing on behalf of Claimant