

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

In re LIBOR-Based Financial Instruments Antitrust Litigation

MDL No. 11-MD-2262 (NRB)

THIS DOCUMENT RELATES TO: OTC ACTION

**CLAIMANT ELIGIBILITY ATTESTATION**

The Claim(s) you submitted appears to be on behalf of a Lehman Brothers or Smith Barney entity. The Claims Administrator is unable to verify that the Claimant is not an Excluded Party as defined in the relevant Settlement Agreements.

If you wish to proceed with your Claim(s), you must certify that you were not affiliated with or owned by a Defendant (or a Defendant's subsidiary or affiliate), directly or indirectly, at the time you received interest linked to U.S. Dollar LIBOR on the instruments included in your Claim(s) during the settlement class period. You may make this certification to the Claims Administrator by returning this completed Claimant Eligibility Attestation. If you do nothing, or you do not respond by the due date, your Claim(s) will not be eligible for a payment from the relevant settlement funds.

Please note that the Claims Administrator may request additional documentation to verify the information included in your updated data file. Failure to provide the required documentation may result in the rejection of your submission.

**CERTIFICATION**

I (We) declare under penalty of perjury under the laws of the United States of America that I (we) was (were) not affiliated with or owned by a Defendant (or a Defendant’s subsidiary or affiliate), directly or indirectly, at the time I (we) received interest linked to U.S. Dollar LIBOR on the instruments included in my (our) Claim(s) during the settlement class period.

Executed this \_\_\_\_ day of \_\_\_\_\_, 2024 in \_\_\_\_\_, \_\_\_\_\_.  
(City) (State/Country)

Claimant ID Number

Name of Claimant

Name of person signing on behalf of Claimant

Signature of person signing on behalf of Claimant

Capacity of person signing on behalf of Claimant